

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James Rulon Young Rawson, et al. : Group Art Unit: 1746

Serial No.: 10/629,146 : Examiner:

Filed: July 29, 2003 :

For APPARATUS AND METHOD FOR REMOVING :
CONTAMINANTS FROM DRY CLEANING
SOLVENTSUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

- ☐ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)(1)
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☒ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP AMENDMENT
COMMISSIONER FOR PATENTS
PO BOX 1450, ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

July 19, 2006

Date of Deposit

Jennifer L. Wood

Type or Print Name

Jennifer L. Wood

Signature

This paper is submitted in accordance with:

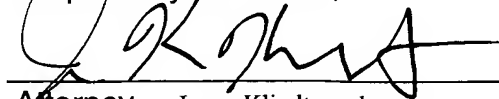
- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☒ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

July 19, 2006

Date

General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147

Respectfully submitted,



Attorney - Jason Klindtworth

Registration No. 47,211

Phone: (518) 387-7360

or (518) 387-7122

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/629,146
				Filing Date	7/29/2003
				First Named Inventor	James Rulon Young Rawson
				Art Unit	1746
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	RD-29,279-1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	Des. 253,422	11-13-1979	Smith	
	AB	US-2,828,862	04-00-1958	Johnson	
	AC	US-3,203,208	08-00-1965	Brucken	
	AD	US-3,327,856	06-00-1967	Beduhn	
	AE	US-3,386,796	06-00-1968	Videen	
	AF	US-3,421,835	01-00-1969	McCarty	
	AG	US-3,497,452	02-00-1970	Arvanitakis	
	AH	US-3,583,567	06-08-1971	Gino Maestrelli	
	AI	US-3,728,074	04-00-1973	Victor	
	AJ	US-3,931,011	01-06-1976	Richards et al.	
	AK	US-3,966,602	06-00-1976	Burger	
	AL	US-4,138,337	02-06-1979	R.G. Smith	
	AM	US-4,279,704	07-21-1981	Noble, Sr. et al.	
	AN	US-4,283,279	08-11-1981	Smith	
	AO	US-4,288,329	09-00-1981	Duval	
	AP	US-4,298,465	11-03-1981	Druffel	
	AQ	US-4,444,625	04-24-1984	Smith	
	AR	US-4,456,529	06-26-1984	Shinaver	
	AS	US-4,513,590	04-00-1985	Fine	
	AT	US-4,661,612	04-28-1987	George et al.	
	AU	US-4,774,346	09-27-1988	Imai et al.	
	AV	US-5,047,123	09-00-1991	Arvanitakis	
	AW	US-5,069,755	12-00-1991	Durr et al.	
	AX	US-5,219,371	06-00-1993	Shim et al.	
	AY	US-5,225,509	07-06-1993	Heinrich et al.	
	AZ	US-5,236,580	08-17-1993	Kelleher	
	BA	US-5,238,899	08-24-1993	Kadowaki et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Documents	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind code ⁵ (if known)				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.USPTO.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here is English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450. DON NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/629,146
				Filing Date	7/29/2003
				First Named Inventor	James Rulon Young Rawson
				Art Unit	1746
Examiner Name					
Sheet	2	of	3	Attorney Docket Number	RD-29,279-1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	BB	US-5,245,067	09-14-1993	Schneider et al.	
	BC	US-5,288,831	02-22-1994	Ichinobe et al.	
	BD	US-5,348,588	09-00-1994	Winston	
	BE	US-5,520,028	05-28-1996	Kim et al.	
	BF	US-5,783,078	07-21-1998	Roll et al.	
	BG	US-5,860,796	01-19-1999	Clausen	
	BH	US-5,948,441	09-00-1999	Lenk et al.	
	BI	US-5,997,739	12-07-1999	Clausen et al.	
	BJ	US-6,042,617	03-28-2000	Berndt	
	BK	US-6,042,618	03-28-2000	Berndt et al.	
	BL	US-6,056,789	05-02-2000	Berndt et al.	
	BM	US-6,059,845	05-09-2000	Berndt et al.	
	BN	US-6,063,135	05-16-2000	Berndt et al.	
	BO	US-6,086,635	07-11-2000	Berndt et al.	
	BP	US-6,203,698 B1	03-20-2001	Goodrich	
	BQ	US-7,000,437	02-00-2006	Raney et al.	
	BR	US-2005/0071929 A1	04-07-2005	Mani et al.	
	BS	US-2004/0255394 A1	12-23-2004	Mani et al.	
	BT	US-2004/0045096 A1	03-11-2004	Mani et al.	
	BU	US-2003/0196277 A1	10-23-2003	Hallman et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Documents	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind code ⁵ (if known)				
	BV	EP 0 543 665 A1	05/26/1993	Tsukuno et al.		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.USPTO.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450. DON NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/629,146
				Filing Date	7/29/2003
				First Named Inventor	James Rulon Young Rawson
				Art Unit	1746
				Examiner Name	
				Attorney Docket Number	RD-29,279-1
Sheet	3	of	3		

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application.

Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450. **DON NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.**

SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.